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020375 PTO/SB/21 (08-00)
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/713,770
Filing Date	November 14, 2000
First Named Inventor	Adam Coyle
Group Art Unit	2661
Examiner Name	
Attorney Docket Number	020375-021400US

Total Number of Pages in This Submission

5

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Statement Under 3.73(b) with attached Assignment

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Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name

Townsend and Townsend and Crew LLP
Darin J. Gibby

Reg. No. 38,46

Signature

Date

February 28, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:

February 28, 2003

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Signature

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DE 7093463 v1



STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Adam Coyle

Application No./Patent No.: 09/713,770

Filed/Issue Date: November 14, 2000

Entitled: Card-Based System and Method for Issuing Negotiable Instruments

First Data Corporation, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

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The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8)

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

1/31/03
Date

[Signature]
Signature

Ken Algine
Typed or printed name

Asst. Secretary
Title

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ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I hereby assign, transfer and convey to **First Data Corp.**, a corporation created and existing under and by virtue of the laws of the State of Colorado, and having a principal place of business at 6200 S. Quebec Street, Suite 330-K, Englewood, CO 80111, its successors, legal representatives and assigns, the entire right, title and interest in and to my invention for improvements in **CARD-BASED SYSTEM AND METHOD FOR ISSUING NEGOTIABLE INSTRUMENTS**, in and to the application for Letters Patent of the United States therefor executed by us on the 5th day of January, 2001, and in and to the Letters Patent to be issued pursuant to said application, and any divisions, continuations, reissues and extensions thereof, and all applications for Letters Patent, or similar legal protection which may hereafter be filed for said invention in any country or countries foreign to the United States, and all Letters Patent which may be granted for said invention in any country or countries foreign to the United States, and all extensions, renewals and reissues thereof or legal equivalent thereof.

I hereby authorize and request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents or similar legal protection on applications aforesaid, to issue all Letters Patent or similar legal protection for said invention to said **First Data Corp.**, the same to be held by said **First Data Corp.** for its own use and behoof and for the use and behoof of its successors, or

assigns, to the full end of the term for which said Letters Patent or similar legal protection are or may be granted, as fully and entirely as the same would have been held and enjoyed by us had this assignment and sale not been made.

For said consideration, I hereby agree, upon the request of said assignee, its successors, legal representatives and assigns, to execute any and all divisional and renewal applications for said invention or improvements and any supplemental oath or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application that said assignee, its successors, legal representatives or assigns may deem necessary or expedient, and for said considerations, I further agree, upon the request of said assignee, its successors, legal representatives or assigns, in the event of said application or any division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in Interference, to cooperate to the best of our ability with said assignee, its successors, legal representatives or assigns in the matters of preparing the preliminary statement and giving and producing evidence in support thereof. I hereby agree to perform, upon such request, any and all affirmative acts to obtain said Letters Patent and vest all rights therein hereby conveyed in the said assignee, its successors, legal representatives and assigns, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made, and hereby covenant and warrant that as of the date hereof I am the true and lawful owner of the entire right, title and interest in said invention, application for Letters Patent

aforesaid, and the Letters Patent which may issue pursuant thereto, and have the full right and power to convey the same, and that the same is free and clear of all liens, charges and encumbrances whatsoever, and that I have not executed and will not execute any agreement in conflict herewith.

WITNESS my hand and seal this 5th day of JANUARY, ²⁰⁰¹~~2000~~.

By:


Adam Coyle

ACKNOWLEDGMENT

STATE OF Colorado)
COUNTY OF Arapahoe) ss:

On this 5th day of January, 2001, ~~2000~~, before me, a Notary Public in and for the State and county aforesaid, personally appeared Adam Coyle, to me known and known to me to be the person of that name who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

My Commission Expires:

04/13/04




Notary Public